

# Application for a new account

## CT General Investment Account (CT GIA)

- This application form only relates to the Columbia Threadneedle General Investment Account ("CT GIA").
- By completing this application form, you are applying for a Columbia Threadneedle General Investment Account with Columbia Threadneedle Management Limited ("Columbia Threadneedle").
- You can invest individually or jointly.
- You can invest by way of a lump sum payment by cheque, or regular monthly savings by direct debit, or both.
- If you need further application forms, please download them from our website at [ctinvest.co.uk](http://ctinvest.co.uk), email [invest@columbiathreadneedle.com](mailto:invest@columbiathreadneedle.com) or call 0345 600 3030\*\*. You can also photocopy this form, although signatures must be original.
- Before making your investments, please ensure that you have read the latest Key Information Documents (KIDs) for your preferred investment(s), and the Pre-Sales Cost & Charges Disclosure for your savings plan and selected investment(s). These documents can be found at [ctinvest.co.uk/documents](http://ctinvest.co.uk/documents). Paper copies can be provided on request.

**Please complete the form in block capitals and in black ink.**

Please return the form to the following address:

**Columbia Threadneedle Management Limited**

**PO Box 11114**

**Chelmsford**

**CM99 2DG**

24DLU/1  
04/24

### Part 1 Personal details

Please ensure you supply your address, date of birth, nationality and National Insurance number – all fields marked with \* are required to open your plan.

#### 1.1 First joint/only applicants details

Title (Mr/Mrs/Miss/Ms/Other)\* First name(s) in full\*

Surname\*

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Permanent residential address (including postcode)\*

Postcode

Country

Date of birth\*

National Insurance number\*

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Telephone

Email address

Nationality\*

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Providing your telephone number will help us contact you quickly if there are any queries with this form.

#### 1.2 Joint applicants (if required)

Title (Mr/Mrs/Miss/Ms/Other)\* First name(s) in full\*

Surname\*

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Permanent residential address (including postcode)\*

Postcode

Country

Date of birth\*

National Insurance number\*

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Telephone

Email address

Nationality\*

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Providing your telephone number will help us contact you quickly if there are any queries with this form.

You should be able to find your National Insurance number on a payslip, form P45 or P60 or a letter from the HM Revenue & Customs, a letter from the DWP or a pension order book.

- If there are more than two joint applicants, you can download the Multi Applicant Form from our website or contact us on 0345 600 3030\*\*.
- All applicants must sign the Declaration in Part 5.
- We will send all correspondence to the address of the first joint/only applicant.

#### Data Protection

All personal information submitted with this application form will be treated in accordance with Columbia Threadneedle's Privacy Policy, which is available at: [ctinvest.co.uk/privacy](http://ctinvest.co.uk/privacy). This privacy policy includes full details about the type of information we collect, what we use this information for, and your related rights.

In addition, the Key Features and Terms & Conditions document for the selected account contains a summary of the key information about how we process your personal information. Should you have any questions regarding how we process your personal information key contact information can be found both in the Privacy Policy and the Terms & Conditions document.

#### Marketing

We would like to provide you with details of financial services and products that we offer which we think you might find interesting. **If you would NOT like to receive such information, please tick this box** ☐. If at any time you change your mind, please let us know by emailing us at [preferences@columbiathreadneedle.com](mailto:preferences@columbiathreadneedle.com).

## Part 2 Investment details

### To make a lump sum

If you would like to invest a single lump sum payment by cheque, tell us how much you'd like to invest, and into what fund(s), in the "Lump Sum" column below. The minimum lump sum is £100. There is a dealing charge of £12 for each fund selected.

### To set up a new Direct Debit

If you would like to spread the investment by making regular monthly payments, tell us how much you'd like to invest, and into what fund(s), in the "Monthly Savings" column below. The minimum Direct Debit is £25. There is no dealing charge on contributions made by Direct Debit. You will need to complete the Direct Debit instruction overleaf in Part 6 and provide proof of your bank/ building society details with this form. We can accept a pre-printed pay-in slip or a cancelled cheque.

Trust name	Lump sum (min £100 per account)	Monthly savings (min £25 per account)	SEDOL Code (for office use only)
European Assets Trust (ordinary shares)	£	£	BHJVQ59
CT UK Capital and Income Investment Trust (ordinary shares)	£	£	0346328
Balanced Commercial Property Trust (ordinary shares)	£	£	B4ZPCJ0
The Global Smaller Companies Trust (ordinary shares)	£	£	BKLDX97
CT Global Managed Portfolio Trust (growth shares)	£	£	B2PP252
CT Global Managed Portfolio Trust (income shares)	£	£	B2PP3J3
CT Private Equity Trust (ordinary shares)	£	£	3073827
F&C Investment Trust (ordinary shares)	£	£	0346607
CT UK High Income Trust (ordinary shares)	£	£	B1N4G29
CT UK High Income Trust (B shares)	£	£	B1N4H59
TR Property Investment Trust (ordinary shares)	£	£	0906409
Total amount	£	£	

- Lump sums must be paid by cheque made payable to 'Columbia Threadneedle Management Limited'.

## Part 3 Annual management charge

The annual management charge payable to Columbia Threadneedle is £40+ VAT. This amount will be collected from your account in two equal instalments in April and October each year. This means that £20+ VAT will be collected in April and £20+ VAT will be collected in October. If you would like to pay this charge by Direct Debit please tick the box below and complete the Direct Debit Form in Part 6.

If you do not opt to pay the annual management charge via Direct Debit, the management charge will be paid out of any available cash in your account.

If your account does not have enough available cash to cover the management charge, we may need to sell your investments to cover the annual management charge.

☐ I would like to pay my management charge by Direct Debit. (please complete Part 6)

## Part 4 Dividend options

Dividends (regular income generated from your investment) will be automatically reinvested to buy more shares. If you would prefer dividends to be paid out to your bank/building society, bank account verification is required, we can accept a pre-printed pay-in slip or a cancelled cheque. Please complete your details below.

Name of bank or building society

Branch sort code

Name of account holder(s)

Account number

Bank or building society address

Roll Number

Postcode

Please note that if you are contributing to an existing CT GIA the instructions given above will override any existing dividend arrangements. If this section is left blank all dividends received within the Plan will be re-invested.

## Part 5 Individual Self-Certification/Declaration

### Tax residency

Tax regulations<sup>1</sup> require us to collect information about each investor's tax residency<sup>2</sup>. In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with His Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions<sup>3</sup>.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you have any questions about your tax residency<sup>2</sup>, please contact your tax adviser. If you indicate that you are a UK Tax resident only and not a US citizen, you do not need to provide further details of your Tax Identification Number as you have already provided your National Insurance Number in part 1.

Name	Country/Countries of Tax Residency	Tax Identification Number <sup>4</sup>

<sup>1</sup> The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).

<sup>2</sup> In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.

<sup>3</sup> Those countries that have agreed to exchange information under FATCA and the CRS.

<sup>4</sup> If you are not resident in a jurisdiction that is reportable under CRS or FATCA and also not a US citizen, you are not required to provide your 'Tax Identification Number'

### Declaration

**I/We declare that** the information on this completed application form is correct to the best of my/our knowledge. **I/We confirm that** I/we have read the Key Features of the CT General Investment Account.

**I/We agree** to be bound by the Terms & Conditions relating to the plan. **I/We understand that** this application is subject to acceptance by Columbia Threadneedle. **I/We declare that I/we are not a US Person.** **I/We agree** to notify Columbia Threadneedle Management Limited immediately if any of this information changes in the future. **I/We confirm that I/we** have read the latest Key Information Documents for the selected investment trust(s). **I/We confirm that I/we** have read and understood the Pre-Sales Cost & Charges Disclosure for the selected investment trust(s) and savings plan.

The above forms part of our standard client agreement. Our terms are detailed fully in our CT GIA Key Features and Terms & Conditions document which you should read before signing this application form. Familiarising yourself with our terms and conditions ensures you know your rights and what you are agreeing to. You can find our Key Features and Terms & Conditions document on our website or you can request a copy in the mail by phoning us. If you have any questions or need more information on any of our terms, please get in touch.

<b>Registered Holder (Applicant 1):</b>
Name (please print in full):
Date of birth:
Signature:
Date

<b>Registered Holder (Applicant 2):</b>
Name (please print in full):
Date of birth:
Signature:
Date

### Application checklist Please ensure:

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|--|--|
| <input type="checkbox"/> that you have read and understood the Key Features of the General Investment Account and Terms & Conditions brochure.   | <input type="checkbox"/> that you have signed and dated the Declaration in Part 5.   |
| <input type="checkbox"/> if you are investing a lump sum, that you have enclosed a cheque and it is made out to 'Columbia Threadneedle Management Limited'. Please remember to sign and date your cheque. Post-dated cheques will not be accepted. | <input type="checkbox"/> that you do not detach any part of the form other than the Direct Debit Guarantee.  |
| <input type="checkbox"/> if you are investing monthly, you have completed the Direct Debit mandate (Part 6) and enclosed proof of your bank/building society details. We can accept a pre-printed pay-in slip or a cancelled cheque.               | <input type="checkbox"/> that you have read the latest Key Information Document and Pre-Sales Cost & Charges Disclosure for the selected investment trust(s) and savings plan. |



**Columbia Threadneedle Management Limited**  
**PO Box 11114**  
**Chelmsford**  
**CM99 2DG**

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I understand that this instruction may remain with Columbia Threadneedle Management Limited and, if so, details will be passed electronically to my bank/building society.

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To the Manager	bank/building society
Address	
Postcode	


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**Banks and building societies may not accept Direct Debit instructions for some types of account.**

**To be detached and retained by the payer**



## The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Columbia Threadneedle Management Limited will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Columbia Threadneedle Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Columbia Threadneedle Management Limited or your bank or building society,  
you are entitled to a full and immediate refund of the amount paid from your bank or building society  
– If you receive a refund you are not entitled to, you must pay it back when Columbia Threadneedle Management Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



**Columbia Threadneedle Management Limited**

\*\* 0345 600 3030, 9am - 5pm, weekdays, calls may be recorded or monitored for training and quality purposes.